

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001\_1797A

First Named Inventor : Daiji NAKA et al.

Title: SPECIFIC ANTIBODY DIRECTED TO ACTIVE HEPATOCYTE  
GROWTH FACTOR ACTIVATOR AND METHOD FOR USING THE  
SAME

Express Mail Label No.:

## APPLICATION ELEMENTS

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)2. ☐ Small Entity Status is hereby asserted.3. ☒ Specification [Total Pages: 70]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 5]5. ☒ Oath or Declaration [Total Pages: 3]

- a.1. ☐ Newly executed (original or copy)
- a.2. ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).6. ☐ Application Data Sheet (see 37 CFR 1.76)7. ☐ CD-ROM or CD-R in duplicate, large table or computer  
program (Appendix)8. ☒ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☒ Computer Readable Form
- b. Specification Sequence Listing on:
  - i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ Paper
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- 9. ☐ Assignment Papers (cover sheet & document(s))
- 10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
  - ☐ Power of Attorney
- 11. ☐ English Translation Document (if applicable)
- 12. ☐ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS Citations
- 13. ☐ Preliminary Amendment
- 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically  
itemized)
- 15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
- 17. ☒ Other - Claim of Priority with 1 certified priority document  
and Cover Letter with Deposit Receipt

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or  
in an Application Data Sheet:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



000513

By:

Warren M. Cheek, Jr.  
Registration No. 33,367

WENDEROTH, LIND &amp; PONACK, L.L.P.

December 4, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Daiji NAKA et al. : **Attn: APPLICATION BRANCH**  
Serial No. NEW : **Docket No. 2001\_1797A**  
Filed December 4, 2001 :

SPECIFIC ANTIBODY DIRECTED TO ACTIVE  
HEPATOCTE GROWTH FACTOR ACTIVATOR  
AND METHOD FOR USING THE SAME

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**SUBMISSION OF DEPOSIT RECEIPT**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

There is submitted herewith a copy of the receipt of the deposit of the microorganism referred to in the specification.

The deposit has been made under the International Budapest Treaty as accession number FERM BP-7779.

Respectfully submitted,

Daiji NAKA et al.

By \_\_\_\_\_  
Warren M. Cheek, Jr.  
Registration No. 33,367  
Attorney for Applicants

WMC/dlk  
Washington D C 20006-1021

December 4, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Daiji NAKA et al.

Serial No. NEW

Filed December 4, 2001

Attn: APPLICATION BRANCH

Attorney Docket No. 2001\_1797A

SPECIFIC ANTIBODY DIRECTED TO ACTIVE  
HEPATOCYTE GROWTH FACTOR ACTIVATOR  
AND METHOD FOR USING THE SAME

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

**PATENT OFFICE FEE TRANSMITTAL FORM**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$2,214.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$740.00

**Additional Claims Fee:**

Excess of Twenty ..... \$522.00

Independent ..... \$672.00

Multiple Dependent Fee ..... \$280.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Daiji NAKA et al.

By \_\_\_\_\_  
Warren M. Check, Jr.  
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December 4, 2001

Check No. 47789  
2001 1797A